



0300
APPROVED / 0400 \$
for
PTO DEPOSIT ACCOUNT CHARGE
ACCOUNT # 04-1700

DUNLAP & CODDING

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | |
|--|------------------------|--------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/774,940 |
| | Filing Date | 01/31/2001 |
| | First Named Inventor | Mills et al. |
| | Group Art Unit | |
| | Examiner Name | |
| Total Number of Pages in This Submission | Attorney Docket Number | 5157.002 |

| ENCLOSURES (check all that apply) | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | See Remarks. |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | 1. Supplemental Preliminary Amendment (15 pages); 2. Fee Transmittal (1 page); 3. Fee Determination Record (1 page); and 4. Postcard. | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Dunlap, Coddling & Rogers, P.C., 9400 North Broadway, Suite 420, Oklahoma City, OK 73114 Kathryn L. Hester, Ph.D. |
| Signature | |
| Date | 4/27/01 |

| CERTIFICATE OF MAILING | |
|--|--------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. _____ in an envelope addressed to the address below on this date: 04/27/2001 | |
| Typed or printed name | Kathryn L. Hester, Ph.D. |
| Signature | |
| Date | 4/27/01 |



APPROVED
for
PTO DEPOSIT ACCOUNT CHARGE
ACCOUNT # 04-1700

Approved for use through 4/23/2002, OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 40

Complete if Known

Application Number 09/774,940

Filing Date 01/31/2001

First Named Inventor Mills et al.

Examiner Name

Group Art Unit

Attorney Docket No. 5157.002

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

04-1700

Deposit
Account
Name

Dunlap, Codding & Rogers, P.C.

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|-------------|-------------|-------------|-------------|------------------------|
| 101 | 710 | 201 | 355 | Utility filing fee |
| 106 | 320 | 206 | 160 | Design filing fee |
| 107 | 490 | 207 | 245 | Plant filing fee |
| 108 | 710 | 208 | 355 | Reissue filing fee |
| 114 | 150 | 214 | 75 | Provisional filing fee |

Fee Paid

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Independent Claims | -20** = 0 | X 9 = 0 | |
| Multiple Dependent Claims | -3** = | X 40 = 0 | |
| | | | |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|-------------|-------------|-------------|-------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|---|--------------------------------|--------------------------------|--------------------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) 1 add'l claim (see Fee Det. Record) | | | | | 40 |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

SUBMITTED BY

Name (Print/Type) Kathryn L. Hester, Ph.D.

Registration No.
(Attorney/Agent) 46,768

Complete (if applicable)

Telephone (405) 478-5344

Signature

Date 4/27/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



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PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

5157.002

CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

SMALL ENTITY

OR
OTHER THAN
SMALL ENTITY

| FOR | NUMBER FILED | NUMBER EXTRA |
|---|--------------|--------------|
| BASIC FEE (37 CFR 1.16(a)) | | |
| TOTAL CLAIMS (37 CFR 1.16(c)) | minus 20 = | * 0 |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | minus 3 = | * |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) | | 0 |

| RATE | FEE |
|----------|------|
| | \$ 0 |
| x \$ 9 = | 0 |
| x 40 = | 0 |
| + 135 = | 0 |
| TOTAL | 0 |

| RATE | FEE |
|-----------|------|
| | \$ 0 |
| x \$ 18 = | 0 |
| x 80 = | 0 |
| + 270 = | 0 |
| TOTAL | 0 |

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR
OTHER THAN
SMALL ENTITY

| AMENDMENT A | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|-------------|---|---|-------|---|------------------|
| | Total (37 CFR 1.16(c)) | * 13 | Minus | ** 20 | = 0 |
| | Independent (37 CFR 1.16(b)) | * 5 | Minus | *** 4 | = 1 |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | |

| RATE | ADDI- TIONAL FEE |
|----------|------------------------|
| | |
| x \$ 9 = | 0 |
| x 40 = | 40 |
| + 135 = | 0 |
| TOTAL | 40 |

| RATE | ADDI- TIONAL FEE |
|-----------|------------------------|
| | |
| x \$ 18 = | 0 |
| x 80 = | 0 |
| + 270 = | 0 |
| TOTAL | 0 |

(Column 1)

(Column 2)

(Column 3)

ADDIT. FEE

ADDIT. FEE

| AMENDMENT B | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|-------------|---|---|-------|---|------------------|
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | |

| RATE | ADDI- TIONAL FEE |
|----------|------------------------|
| | |
| x \$ 9 = | 0 |
| x 40 = | 0 |
| + 135 = | 0 |
| TOTAL | 0 |

| RATE | ADDI- TIONAL FEE |
|-----------|------------------------|
| | |
| x \$ 18 = | 0 |
| x 80 = | 0 |
| + 270 = | 0 |
| TOTAL | 0 |

(Column 1)

(Column 2)

(Column 3)

ADDIT. FEE

ADDIT. FEE

| AMENDMENT C | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|-------------|---|---|-------|---|------------------|
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | |

| RATE | ADDI- TIONAL FEE |
|----------|------------------------|
| | |
| x \$ 9 = | 0 |
| x 40 = | 0 |
| + 135 = | 0 |
| TOTAL | 0 |

| RATE | ADDI- TIONAL FEE |
|-----------|------------------------|
| | |
| x \$ 18 = | 0 |
| x 80 = | 0 |
| + 270 = | 0 |
| TOTAL | 0 |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mills et al) Atty Dkt No: 5157.002
)
Serial No: 09/774,940)
)
Filed: January 31, 2001)
)
For: METAL-CHELATED NUCLEIC)
ACID BINDING PEPTIDES)
FOR IN VIVO DETECTION)
AND THERAPY OF DISEASE)

PRE AMENOT/B
H5-13
4-1-02

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL PRELIMINARY AMENDMENT

Applicant herein seeks to amend the above referenced application as shown below, prior to the first examination and action of the United States Patent and Trademark Office.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited on April 27, 2001 with the United States Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Kathryn L. Hester
Name of applicant, assignee or
registered representative

[Signature]
Signature

April 27, 2001
Date

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